

11-30-01

Receipt  
\$  
4

Patent  
Attorney Docket: 266/300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**Inventor:** PILCH, Daniel S. et al.

**Serial No.:** 09/945,155

**Filed:** August 31, 2001

**For:** INHIBITION OF VIRAL  
REPLICATION BY TARGETING  
RNA-DNA COMPLEXES

)  
) **Group Art Unit:** 1614

)  
) **Examiner:** Not yet assigned

TRANSMITTAL OF MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Sir:

**I. DOCUMENTS ENCLOSED:**

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f)**, which was mailed by the Patent Office on \_\_\_\_\_, enclosed are:

- ☒ Declaration
- ☐ Power of Attorney: ☐ Separate or ☐ Combined with Declaration
- ☐ Assignment of the invention to \_\_\_\_\_ (together with PTO Form 1595)
- ☒ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)

LA-215059.1

CERTIFICATE OF MAILING  
(37 C.F.R. § 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

EL607743110US  
Express Mail Label No.

November 27, 2001  
Date of Deposit

Miyabi Grace  
Name of Person Mailing Paper

*Miyabi Grace*  
Signature of Person Mailing Paper

☒ Request for Correction to Filing Receipt (inventor's residence)

## II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$200.00	\$400.00
<input type="checkbox"/> three months	\$460.00	\$920.00
<input type="checkbox"/> four months	\$720.00	\$1,440.00
<input type="checkbox"/> five months	\$980.00	\$1,960.00

**Fee**

☐ If any extension fee is required, please consider this a petition therefor.

## III. FILING FEES

☒ Applicant claims small entity status pursuant to 37 CFR 1.27.

<b>BASIC FILING FEE:</b>						\$740.00
Total Claims	-	20	=	0	x \$18.00	\$0.00
Independent Claims	6	-	3	=	3 x \$84.00	\$252.00
Multiple Dependent Claims	\$280	(if applicable)			<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)			<input checked="" type="checkbox"/>	\$130.00
<b>TOTAL OF ABOVE CALCULATIONS</b>						\$1,122.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.						<input checked="" type="checkbox"/> \$561.00
Extension of Time (from above)						\$0.00
Assignment -- \$40 (if applicable)						<input type="checkbox"/> \$0.00
<b>TOTAL FEES SUBMITTED HERewith</b>						\$561.00

## IV. METHOD OF PAYMENT OF FEES:

☒ A check in the amount of \$561.00 is enclosed to cover the above fee(s).

☐ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of \_\_\_\_.

☒ The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

Dated: 11-14-07

By: 

Michael J. Wise  
Reg. No. 34,047



**22249**

PATENT TRADEMARK OFFICE

LYON & LYON LLP  
Suite 4700  
633 W. Fifth Street  
Los Angeles, CA 90071  
(213) 489-1600



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/945,155	08/31/2001	Daniel S. Pilch	266/300

22249  
LYON & LYON LLP  
633 WEST FIFTH STREET  
SUITE 4700  
LOS ANGELES, CA 90071



CONFIRMATION NO. 1597  
FORMALITIES LETTER



"OC000000006868332"

Date Mailed: 10/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

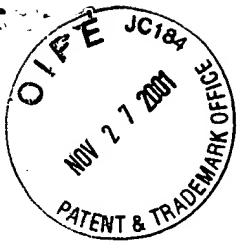
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$240.  
    ▪ \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1080.

*A copy of this notice **MUST** be returned with the reply.*

*K*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Patent  
Attorney Docket No. 266300

TECH CENTER 1600/2900

DEC 26 2001

RECEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**Inventor:** PILCH, Daniel S. et al.

**Serial No.:** 09/945,155

**Filed:** August 31, 2001

**For:** INHIBITION OF VIRAL  
REPLICATION BY TARGETING  
RNA-DNA COMPLEXES

**Group Art Unit:** 1614

**Examiner:** Not yet assigned

REQUEST FOR CORRECTION TO FILING RECEIPT

Box Missing Parts  
Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

This Request for Correction of the Filing Receipt is to correct the inventor's residence to "Daniel S. Pilch, Highland Park, NJ" and "Tsai-Kun Li, Edison, NJ." A copy of the Filing Receipt is also enclosed with corrections marked in red for your convenience.

We kindly request a corrected Filing Receipt with the correct residence of the inventors. If you have any questions or comments, please do not hesitate to contact the undersigned.

LA-215066.1

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

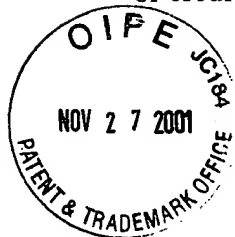
EL607743110US  
Express Mail Label No.

November 27, 2001  
Date of Deposit

Miyabi Grace  
Name of Person Mailing Paper

*Miyabi Grace*  
Signature of Person Mailing Paper

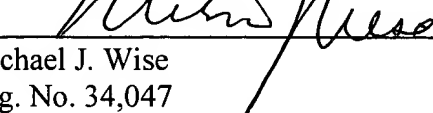
The Commissioner is hereby authorized to charge any additional fees which may be required,  
or credit any overpayment to Lyon & Lyon's Deposit Account No. 12-2475.



Respectfully submitted,

LYON & LYON LLP

Dated: 11-14-01

By:   
Michael J. Wise  
Reg. No. 34,047



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP  
633 W. Fifth Street, Suite 4700  
Los Angeles, CA 90071  
Tel: (213) 489-1600  
Fax: (213) 955-0440

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08/31/2001



UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/945,155	08/31/2001	1614	0.00	266/300	5	17	6

22249  
LYON & LYON LLP  
633 WEST FIFTH STREET  
SUITE 4700  
LOS ANGELES, CA 90071



CONFIRMATION NO. 1597

FILING RECEIPT



\*OC000000006868331\*

Date Mailed: 10/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Daniel S. Pilch, ~~Residence Not Provided~~; Highland Park, NJ  
Tsai-Kun Li, ~~Residence Not Provided~~; Edison, NJ

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 10/08/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Inhibition of viral replication by targeting RNA-DNA complexes

Primary Class

514

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08/31/2001

U.S. PROSECUTION



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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20530  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 1581

TECH CENTER 1600/2800  
DEC 26 2001

RECEIVED

<b>SERIAL NUMBER</b> 09/945,155	<b>FILING DATE</b> 08/31/2001 <b>RULE</b>	<b>CLASS</b> 514	<b>GROUP ART UNIT</b> 1614	<b>ATTORNEY DOCKET NO.</b> 266/300
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## APPLICANTS

Daniel S. Pilch, Highland Park, NJ;  
Tsai-Kun Li, Edison, NJ;

\*\* CONTINUING DATA \*\*\*\*\*

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\*

\*\* 10/08/2001

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	<b>STATE OR COUNTRY</b> NJ	<b>SHEETS DRAWING</b> 5	<b>TOTAL CLAIMS</b> 17	<b>INDEPENDENT CLAIMS</b> 6
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature: <u>[Signature]</u> Initials: <u>[Initials]</u>				

## ADDRESS

22249

## TITLE

Inhibition of viral replication by targeting RNA-DNA complexes

<b>FILING FEE RECEIVED</b> 561	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit